“Careers in the Independent Advisor Industry”

A career education presentation

Spread the word about careers in the independent advisor industry through our highly engaging career education presentation.

Are you interested in increasing awareness of the independent Registered Investment Advisor (RIA) industry? This presentation is a powerful and effective tool that can resonate with prospective candidates, showcasing the appeal of becoming an independent financial advisor.

By sharing this material explaining the importance of the RIA industry, you can help clarify the opportunities for job candidates exploring options in financial services.

Who is the presentation for?

This presentation is intended to support career education efforts and to help create awareness of the RIA profession. We encourage you to contact us to help develop local events targeted toward job candidates.

This presentation is designed to be delivered to prospective candidates in a variety of venues, including:

- Undergraduate/MBA on-campus student groups
- Financial industry educational and networking events
- Individual meetings with college career counselors
- Individual meetings with job candidates

What topics are covered?

The presentation was designed with the following sections:

- An overview of the industry
- Benefits to the investor of the RIA model
- Benefits of choosing this career path
- Considerations for working in an RIA firm, including
  - Firm size
  - Client type
  - Services offered
  - Licensing requirements

For more information, please contact the RIA Talent Advantage Team at RIATalentAdvantage@schwab.com.

Schwab Advisor Services serves over 7,000 independent advisors and is an advocate for the RIA industry.

“For me, there cannot be a better job. I’m helping people, I’m well paid, and I love what I do.”

--RIA firm owner of 25+ years